

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE
COMMISSION,

No. C 11-01356 WHA

Plaintiff,

v.


**REQUEST FOR STATUS
UPDATE**

JAMES WARD,

Defendant,

A previous filing stated that defendant James Ward had made a settlement offer to plaintiff Securities and Exchange Commission that the Commission intended to accept. By **NOON ON APRIL 15**, the Commission is requested to submit a status update indicating the status of the parties' settlement efforts and stating whether the parties intend to go forward with the hearing set for April 21.

Dated: April 11, 2016.



WILLIAM ALSUP
UNITED STATES DISTRICT JUDGE